

13281 U.S.PTO  
032504

PTO/SB/05 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032

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**UTILITY****PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. **520.35237CV4**First Inventor **KAJI, TETSUNORI**Title **A PLASMA PROCESSING APPARATUS**

Express Mail Label No.

**APPLICATION ELEMENTS**  
*SEE MPEP chapter 600 concerning utility patent application contents.*ADDRESS  
TO:  
Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-145016834 USPTO 10/808659  
032504

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages: **85**] (preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [Total Sheets: **31**]
5. Oath or Declaration [Total Sheets: **2**]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed)
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
Named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6.  Application Data Sheet. See 37 CFR 1.76
7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission if applicable, all necessary
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & documents(s))
10.  37 CFR 3.73(b) Statement  Power of Attorney (when there is an assignee)
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)
16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: **Fee Transmittal**

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation  Divisional  Continuation-in-part (CIP) of prior application No.: **10/052,538**Prior application information: Examiner: **A. M. Crowell**Art Unit: **1763**

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

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|---|---|----------|--|---|
| <input checked="" type="checkbox"/> Customer Number below | <b>020457</b>                                   |          |  | <input type="checkbox"/> Correspondence address |
| Name  | <b>ANTONELLI, TERRY, STOUT &amp; KRAUS, LLP</b> |          |  |   |
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| Country   | Telephone                                       | Fax      |  |   |
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| Signature   | Registration No. (Attorney/Agent)               |          |  | <b>28,141</b>                                   |
|   |   |          |  | Date  |
|   |   |          |  | <b>March 25, 2004</b>                           |

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# **FEE TRANSMITTAL for FY 2004**

*Effective 10/01/2003. Patent fees are subject to annual revision.*

|  |          |               |          |   |                  |
|--|----------|---------------|----------|---|------------------|
| Applicant claims small entity status. See 37 CFR 1.27  |          |               |          | Art Unit  | Not Assigned Yet |
| <b>TOTAL AMOUNT OF PAYMENT</b>   |          | (\$ 770)      |          | Attorney Docket No. 520.35237CV4  |                  |
| <b>METHOD OF PAYMENT</b> (check all that apply)  |          |               |          | <b>FEE CALCULATION</b> (continued)  |                  |
| <input type="checkbox"/> Check <input checked="" type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None   |          |               |          | <b>3. ADDITIONAL FEES</b>   |                  |
| <b>Deposit Account:</b><br>Deposit Account Number 01-2135<br>Deposit Account Name Antonelli, Terry, Stout & Kraus, LLP   |          |               |          | Large Entity    Small Entity  |                  |
| The Director is authorized to: (check all that apply)<br><input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments<br><br><input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application.<br><br><input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account. |          |               |          | Fee Description<br>1051 130 Surcharge – late filing fee or oath<br>1052 50 Surcharge – late provisional filing fee or cover sheet<br>1053 130 Non-English specification<br>1812 2,520 For filing a request for ex parte reexamination<br>1804 920* Requesting publication of SIR prior to Examination action<br>1805 1,840* Requesting publication of SIR after Examiner action<br><br>1251 110 Extension for reply within first month<br>1252 420 Extension for reply within second month<br>1253 950 Extension for reply within third month<br>1254 1,480 Extension for reply within fourth month<br>1255 2,010 1,005 Extension for reply within fifth month<br>1401 330 Notice of Appeal<br>1402 330 Filing a brief in support of an appeal<br>1403 290 Request for oral hearing<br>1451 1,510 Petition to institute a public use proceeding<br>1452 110 Petition to revive – unavoidable<br>1453 1,330 Petition to revive – unintentional<br>1501 1,330 Utility issue fee (or reissue)<br>1502 480 Design issue fee<br>1503 640 Plant issue fee<br>1406 130 Petitions to the Commissioner<br>1807 50 Processing fee under 37 CFR 1.17(q)<br>1806 180 Submission of Information Disclosure Stmt<br>8021 40 Recording each patent assignment per property (times number of properties)<br>1809 770 Filing a submission after final rejection (37 CFR § 1.129(a))<br>1810 770 For each additional invention to be examined (37 CFR § 1.129(b))<br>1801 770 Request for Continued Examination (RCE)<br>1802 900 Request for expedited examination of a design application |                  |
| <b>FEE CALCULATION</b>   |          |               |          | <b>Fee Paid</b>   |                  |
| <b>1. BASIC FILING FEE</b>   |          |               |          | Large Entity    Small Entity  |                  |
| Large Entity    Small Entity   |          |               |          | <b>Fee Description</b>  |                  |
| Code   | Fee (\$) | Code          | Fee (\$) | Fee Description   | Fee Paid         |
| 1001   | 770      | 2001          | 385      | Utility filing fee  | 770              |
| 1002   | 340      | 2002          | 170      | Design filing fee   |                  |
| 1003   | 530      | 2003          | 265      | Plant filing fee  |                  |
| 1004   | 770      | 2004          | 385      | Reissue filing fee  |                  |
| 1005   | 160      | 2005          | 80       | Provisional filing fee  |                  |
| <b>SUBTOTAL (1)</b>  |          |               |          | 770   |                  |
| <b>2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE</b>   |          |               |          | <b>Fee from below</b>   |                  |
| Extra Claims    x    Fee Paid  |          |               |          | Fee from below    Fee Paid  |                  |
| Total Claims   | 3        | -20** = 0     | x 18     | =   | 0.00             |
| Indep. Claims  | 3        | -3** = 0      | x 86     | =   | 0.00             |
| Multiple Dependent   |          |               |          | =   |                  |
| <b>Large Entity</b> <b>Small Entity</b>  |          |               |          | <b>Fee Description</b>  |                  |
| Fee Code (\$)  | Fee (\$) | Fee Code (\$) | Fee (\$) | Fee Description   | Fee Paid         |
| 1202   | 18       | 2202          | 9        | Claims in excess of 20  |                  |
| 1201   | 86       | 2201          | 43       | Independent claims in excess of 3   |                  |
| 1203   | 290      | 2203          | 145      | Multiple dependent claim, if not paid   |                  |
| 1204   | 86       | 2204          | 43       | ** Reissue independent claims over original patent  |                  |
| 1205   | 18       | 2205          | 9        | ** Reissue claims in excess of 20 and over original patent  |                  |
| <b>SUBTOTAL (2)</b> \$ 0.00  |          |               |          |   |                  |
| Other fee (specify) _____  |          |               |          |   |                  |
| *Reduced by Basic Filing Fee Paid  |          |               |          |   |                  |
| <b>SUBTOTAL (3)</b> (\$ 0.00)  |          |               |          |   |                  |

*\*\*or number previously paid, if greater; For Reissues, see above.*

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**SUBTOTAL (3) (\$)**

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**SUBMITTED BY**

**Complete (if applicable)**

|                   |   |                                      |        |                |
|-------------------|---|--------------------------------------|--------|----------------|
| COMMITTED BY      |   | Complete (if applicable)             |        |                |
| Name (Print/Type) | Gregory E. Montane  | Registration No.<br>(Attorney/Agent) | 28,141 | Telephone      |
| Signature         |  |                                      | Date   | March 25, 2004 |

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